

08-06-01

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JC853 U.S. PTO  
08/03/01

PATENT

Docket No. IL-10620

Customer No. 24981

Commissioner for Patents  
Washington, DC 20231

JC973 U.S. PTO  
09/22/01

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):

**Jeffrey S. Vetter**

For (title): **Performance Analysis of Distributed Applications using Automatic Classification of Communication Inefficiencies**

**1. Type of Application**

- ☒ This new application is for an original patent.
- ☐ This new application is a:
  - ☐ Division
  - ☐ Continuation
  - ☐ Continuation-in-part (CIP)

**2. Benefit of Prior U.S. Application(s) (35 USC 120)**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

**3. ☒ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:**

<u>60/288,254</u>	<u>5/1/2001</u>
Application Serial No.	Filing Date

**4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).**

28 Pages of specification w/title page  
4 Pages of claims  
1 Pages of abstract  
10 Sheets of drawings  
☒ formal

**5. Additional papers enclosed**

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by  
    ☒ inventors  
    ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43  
☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.  
    ☒ is attached  
    ☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.  
☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	20	-20 =	0	x \$18 =	\$ 0
Independent Claims	6	-3 =	3	x \$80 =	\$ 240.00
				Multiple Claims =	\$ 240.00
				Basic Filing Fee =	\$ 710.00
				Sub-Total =	\$ 950.00
				Small Entity Filing Fee=	\$ 475.00

10. **Small Entity Statement(s)**

☐ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☒ Small Entity status is proper and desired

Filing Fee Calculation (50% of regular filing fee) \$ 475.00

11. **Fee Payment**

☐ Not Enclosed

☒ Enclosed (See Account No. Below)

**Total Basic Filing Fees To Be Paid** \$ 475.00

12. **Method of Payment of Fees**

☐ Check in the Amount of \$ \_\_\_\_\_

☒ Charge Account No. 12-0695 in the amount of \$ 475.00

**A duplicate of this transmittal is attached.**

13. **Instructions As To Overpayment/Underpayment**

☒ credit/charge

Account No. 12-0695

☐ refund



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Dated: 7/2/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jeffrey S. Vetter

Attorney Docket No. : IL-10620

Serial No. :

Art Unit:

Filed :

Examiner:

For : Performance Analysis of Distributed Applications using Automatic  
Classification of Communication Inefficiencies

Commissioner for Patents  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE"Express Mail" label number EL150960241USDate of Deposit 8/3/01I hereby certify that the following *attached*

1. Recordation Cover Sheet w/ Assignment
2. New Application Transmittal (in duplicate)
3. Application  
(Specification w/ Title page 28 pages, Claims 4 pages, Abstract 1 page)  
Combined Declaration and Power of Attorney  
Ten (10) sheets of formal drawings;
4. Information Disclosure Statement ( 1 page)
5. Form PTO-1449 (1 page)
  - a) 2 U.S. Patent Documents
  - b) 4 Other Disclosures
6. Express Mail Certificate
7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

Kathy E. Raymond

(Type or print name of person mailing paper)

Kathy E. Raymond

(Signature of person mailing paper or fee)